

# Attractors and repellers of Koch curves

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## Abstract

This paper presents two methods for generating Koch curves, analogous to the commonly used iterative methods for producing images of Julia sets. The attractive method is based on a characterization of Koch curves as the smallest nonempty sets closed with respect to a union of similarities on the plane. This characterization was first studied by Hutchinson. The repelling method is in principle dual to the attractive one, but involves a nontrivial problem of selecting the appropriate transformation to be applied at each iteration step. Both methods are illustrated with a number of computer-generated images. The mathematical presentation emphasizes the relationship between Koch construction and formal languages theory.

## Reference

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## ATTRACTORS AND REPELLERS OF KOCH CURVES

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### ABSTRACT

This paper presents two methods for generating Koch curves, analogous to the commonly used iterative methods for producing images of Julia sets. The attractive method is based on a characterization of Koch curves as the smallest nonempty sets closed with respect to a union of similarities on the plane. This characterization was first studied by Hutchinson. The repelling method is in principle dual to the attractive one, but involves a nontrivial problem of selecting the appropriate transformation to be applied at each iteration step. Both methods are illustrated with a number of computer-generated images. The mathematical presentation emphasizes the relationship between Koch construction and formal languages theory.

### RESUME

Dans cet article nous présentons deux méthodes pour produire des images des courbes de Koch. La méthode par attirance est fondée sur une étude des ensembles autosimilaires inaugurée par Hutchinson. Une courbe de Koch est considérée comme le plus petit ensemble non-vidé fermé par rapport à l'union des similarités dans la plaine. La méthode par répulsion est une réciproque à la méthode par attirance. On observe alors une analogie avec les méthodes à engendrer des ensembles de Julia qui, elles aussi, peuvent être soit attirantes, soit repoussantes. Les deux méthodes sont illustrées par plusieurs images produites à l'aide de l'ordinateur. La présentation mathématique se sert d'un lien entre la construction de Koch et la théorie des langages formels.

**KEYWORDS:** fractal, attractor, repeller, Koch construction, rewriting system, iterative function system, dynamic process.

### 1. INTRODUCTION

In recent years the beauty of fractals has attracted wide interest among mathematicians, computer scientists and artists. A number of techniques for generating fractal shapes were developed and used to produce fascinating images. Two techniques, popularized by Mandelbrot's book [1982], have gained a particular popularity. These are the Koch construction, and function iteration in the complex domain. According to Mandelbrot's generalization, the Koch con-

struction consists of recursively replacing edges of an arbitrary polygon (called the initiator) by an open polygon (the generator), reduced and displaced so as to have the same end points as those of the interval being replaced. (The original construction [Koch 1905] was limited to the definition of the now famous "snowflake" curve.) As pointed out by A.R. Smith [1984], this is a language-theoretic approach: the fractal is generated by a rewriting system (a "grammar") defined in the domain of geometric shapes. In contrast, the method of function iteration refers to notions of complex analysis. The main idea is to analyze sequences of numbers  $\{x_n\}$  generated by the formula  $x_{n+1} = f(x_n)$ , where  $f$  is a complex function. The fractal, called a Julia set, is a set invariant with respect to  $f$ . Sequences of points originating outside the fractal may gradually approach it – in which case the Julia set is said to be an attractor of the process  $f$  – or they may diverge from the fractal, and the set is then called a repeller of  $f$ . A discussion of fractal generation techniques using attractive and repelling processes was presented, among others, by Peitgen and Richter [1986].

According to the above descriptions, the methods for generating Koch curves and Julia sets appear totally unrelated to each other. But is this the case indeed? From the theoretical point of view, an answer to this question was given by Hutchinson [1981]. He studied sets closed under a union of contraction maps on the plane (specifically, similarities), showed their fractal character, proved that they can be considered as attractors, and indicated the relationship between these sets and Koch curves. Our paper applies Hutchinson's theory to computer graphics. We present two algorithms for generating images of Koch curves. The *attractive* method is similar to a method for generating images of Julia sets termed the inverse iteration method (IIM) by Peitgen and Richter [1986]. An image is obtained by plotting consecutive points attracted by the fractal. This method is relatively fast and particularly useful when studying the impact of parameter changes on the curve shapes. Numerical parameter modifications make it easy to generate new variants of known curves. Continuous parameter changes allow for animating transformations of Koch curves in a way similar to the transformations of Julia sets [Norton 1986]. On the other hand, the *repelling* method makes it possible to obtain colorful images of the entire plane containing a Koch curve. This method is analogous to the method for creating colorful images of Julia sets. However,

in the case of Koch curves a specific new problem occurs. There are a number of similarities involved in the iteration process, and only one should be applied at each iteration step. The problem is to select the correct transformation.

Our paper extends Hutchinson's results in three directions:

- We analyze the relationship between Koch construction and iteration of similarities in a formal way, based on a definition of the Koch construction in terms of formal languages theory. Our analysis is not restricted to the limit Koch curves, but also includes their finite approximations.
- In addition to the attractive algorithm for generating images of Koch curves, which is a straightforward consequence of Hutchinson's paper, we introduce a repelling algorithm.
- We illustrate both algorithms on a number of examples using computer-generated images.

The paper is organized in the following manner. Section 2 presents a formal definition of the Koch construction expressed in terms of formal languages theory. Section 3 shows the equivalence between the Koch construction and iteration of a set of similarities on the plane. The discussion is limited here to curves which can be constructed in a finite number of steps. An extension to infinite-order curves is presented in Sections 4 and 5. Section 4 recalls the standard notion of the topological limit of a sequence of sets. Section 5 applies this notion to define limit Koch curves, and provides their algebraic characterization. Section 6 presents the corresponding method for generating approximations of limit Koch curves, with examples of fractal images. Section 7 introduces a dual description of the limit Koch curves which characterizes them as repellers rather than attractors. The resulting method for generating limit Koch curves is also discussed and illustrated.

## 2. THE KOCH CONSTRUCTION.

In order to accurately state and prove theorems related to the Koch construction, we must substitute a formal definition for its intuitive description usually presented in the literature. A fundamental notion is that of a vector, specified as an ordered pair  $(x, y)$  of points in the plane. (Note that throughout this paper, unless otherwise noted, the symbols  $x, y, z$  refer to points rather than coordinates.) Unless stated otherwise, we operate on *fixed* vectors, which means that two vectors  $\vec{a} = (x_1, y_1)$  and  $\vec{b} = (x_2, y_2)$  are considered equal if and only if their respective endpoints coincide:  $x_1 = x_2$  and  $y_1 = y_2$ . (In contrast, *free* or *abstract* vectors are considered equal if they can be made to coincide by a translation.) As usual, it is convenient to identify a vector (a pair of points) with its graphical representation (a line segment in the plane). Consequently, we write that a point  $x$  belongs to a vector  $\vec{a}$  if  $x$  belongs to the line segment representing  $\vec{a}$ . This convention extends to sets of vectors. Thus, we assume that point  $x$  belongs to a set  $\{\vec{p}_1, \dots, \vec{p}_n\}$  when  $x$  belongs to the figure formed as the union of the line segments of the component vectors.

**Definition 2.1.** A *polyvector* is an ordered set of vectors on

a plane. We write:  $A = \{\vec{a}_1, \dots, \vec{a}_n\}$ , or  $A = \vec{a}_1 \cdots \vec{a}_n$  in short. Given the plane, we denote by  $W$  and  $W^*$  the set of all vectors and the class of all polyvectors, respectively.

**Definition 2.2.** A *Koch system* is a pair  $K = \langle I, P \rangle$  where  $I = \vec{a}_1 \cdots \vec{a}_l \in W^*$  is called the *axiom* or *initiator*, and  $P = (\vec{p}, \vec{q}_1 \cdots \vec{q}_m) \in W \times W^*$  is called the *production*. To specify a production, we use the notation  $\vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m$ . The vector  $\vec{p}$  is called the *predecessor* of production  $P$ , and the polyvector  $\vec{q}_1 \cdots \vec{q}_m$  is called the *successor* or *generator*.

**Remark 2.1.** Definition 2.2 extends Mandelbrot's [1982] description of the Koch construction in three directions:

- The basic elements of the construction are vectors, not line segments.
- The initiator and the production successor are arbitrary sets of vectors. They need not be of equal length, form a polygon or even be connected.
- The predecessor of the production is an arbitrary vector. It need not be connected to the successor.

The above extensions have the following justification:

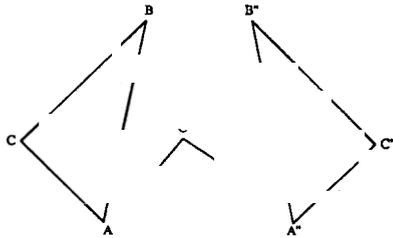
- Vector orientation plays an essential role in the Koch construction. Two Koch systems which differ only by the orientation of vectors in the initiator and/or production may generate totally different fractals. Thus, a definition of a Koch system which makes no reference to line orientation is incomplete.
- When describing the construction of some fractals – for example the dragon curve and the Gosper curve – Mandelbrot complements the specification of the initiator and the generator with additional rules of application. These rules require the starting point and the end point of the generator to exchange their role in some derivation steps. By expressing productions in terms of vectors instead of line segments it is possible to incorporate the rules of application into the formal definition of the Koch system.
- Interesting modifications of fractal shapes can be obtained by allowing the vectors in the generator to be of different lengths.

In the following definitions we will refer to the notion of *direct similarity*. A direct similarity is a transformation on the plane which may change the position and size of geometric figures, but preserves their shape and orientation (which can be either clockwise or counterclockwise) as shown in Fig. 2.1. Such similarity can be expressed as a composition of scaling, rotation and translation; no reflections are allowed.

If a transformation  $T$  takes figure  $A$  to the figure  $B$ , we will write  $AT = B$ .

**Definition 2.3.** Let  $\vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m$  be the production of a Koch system  $K$ . Consider an arbitrary vector  $\vec{a}$  and denote by  $T$  the direct similarity which takes vector  $\vec{p}$  to the vector  $\vec{a}$ :  $\vec{p}T = \vec{a}$ . (Obviously,  $T$  is unique.) We will say that polyvector  $\vec{b}_1 \cdots \vec{b}_m$  is *directly derived* from the vector  $\vec{a}$  and write  $\vec{a} \Rightarrow \vec{b}_1 \cdots \vec{b}_m$  iff  $\vec{b}_1 \cdots \vec{b}_m = (\vec{q}_1 \cdots \vec{q}_m)T$ .

**Remark 2.2.** In the case of rewriting systems which operate



Triangle A'B'C' is related to ABC by a direct similarity. Triangle A''B''C'' is not directly similar to ABC because the mapping of ABC to A''B''C'' involves a reflection.

Fig. 2.1. Illustration of the notion of direct similarity.

on strings (for example, context-free grammars), the result of applying a production  $p \rightarrow q_1 \cdots q_m$  to the letter  $p$  is identical with the production's successor:  $q_1 \cdots q_m$ . Consequently, there is no need for distinguishing between production  $p \rightarrow q_1 \cdots q_m$  and the derivation  $p \Rightarrow q_1 \cdots q_m$ . In contrast, in a Koch system the result  $\vec{b}_1 \cdots \vec{b}_m$  of applying production  $\vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m$  to a vector  $\vec{a}$  is, in general, different from the successor  $\vec{q}_1 \cdots \vec{q}_m$  (since, in general,  $\vec{a} \neq \vec{p}$ .)

**Corollary 2.1.** Consider a Koch system  $K$  with production  $\vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m$  and let  $\vec{a} \rightarrow \vec{b}_1 \cdots \vec{b}_m$  be a derivation in  $K$ . Denote by  $\theta_j$  the direct similarity which takes vector  $\vec{p}$  to the vector  $\vec{q}_j$ :  $\vec{p}\theta_j = \vec{q}_j$  ( $j = 1, \dots, m$ ). In an analogous way, denote by  $\xi_j$  the similarity which takes vector  $\vec{a}$  to the vector  $\vec{b}_j$ :  $\vec{a}\xi_j = \vec{b}_j$ . If  $T$  is the similarity which takes vector  $\vec{p}$  to  $\vec{a}$ , then  $\xi_j = T^{-1}\theta_j T$ .

**Proof.** According to Definition 2.3, if  $\vec{a} = \vec{p}T$  then  $\vec{b}_j = \vec{q}_j T$ . Thus,

$$\vec{p}(T\xi_j) = (\vec{p}T)\xi_j = \vec{a}\xi_j = \vec{b}_j = \vec{q}_j T = (\vec{p}\theta_j)T = \vec{p}(\theta_j T)$$

or  $\xi_j = T^{-1}\theta_j T$ .  $\square$

**Remark 2.3.** In the following sections we will focus on Koch systems with the axiom limited to a single vector  $\vec{a}$ . In this case the derivation  $\vec{a} \Rightarrow \vec{c}_1 \cdots \vec{c}_m$  starting from the axiom  $\vec{a}$  plays a particular role which justifies the use of special symbols  $R$  and  $\phi$  in place of  $T$  and  $\xi$ . Thus, by definition,  $\vec{p}R = \vec{a}$  and  $\vec{a}\phi_j = \vec{c}_j$ . The relationship between different vectors and transformations discussed above is represented diagrammatically in Fig. 2.2. Note that the mappings  $\theta_j$ ,  $\phi_j$  and  $R$  are completely defined by the Koch system  $K$ , while the mappings  $\xi_j$  and  $T$  vary from one argument vector  $\vec{a}$  to another.

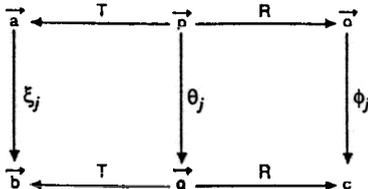


Fig. 2.2. Relationship between mappings  $\theta_j$ ,  $\phi_j$ ,  $\xi_j$ ,  $R$  and  $T$ .

The next definition extends the notion of direct derivation to the predecessors which are not single vectors.

**Definition 2.4.** Let  $\vec{a}_1 \cdots \vec{a}_l$  be a polyvector and  $\vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m$  the production of a Koch system  $K$ . The polyvector  $\vec{b}_{11} \cdots \vec{b}_{1m} \cdots \vec{b}_{l1} \cdots \vec{b}_{lm}$  is directly derived from the polyvector  $\vec{a}_1 \cdots \vec{a}_l$  in the system  $K$  iff  $\vec{a}_i \Rightarrow \vec{b}_{i1} \cdots \vec{b}_{im}$  for all  $i = 1, \dots, l$ . We write:

$$\vec{a}_1 \cdots \vec{a}_l \Rightarrow \vec{b}_{11} \cdots \vec{b}_{1m} \cdots \vec{b}_{l1} \cdots \vec{b}_{lm}$$

**Remark 2.4.** Note that in the derivation

$$\vec{a}_1 \cdots \vec{a}_l \Rightarrow \vec{b}_{11} \cdots \vec{b}_{1m} \cdots \vec{b}_{l1} \cdots \vec{b}_{lm}$$

all vectors  $\vec{a}_i$  ( $i = 1, \dots, l$ ) are substituted by their successors in a single derivation step. Consequently, Koch systems belong to the class of parallel rewriting systems. In the domain of strings, the analogous derivation type characterizes L-systems [Lindenmayer 1968, see also Rozenberg and Salomaa 1980]. The relationship between Koch systems and L-systems is quite close: in fact, many Koch curves can be generated using L-systems with a geometric interpretation of string symbols [Szilard and Quinton 1979, Dekking 1982a and 1982b, Prusinkiewicz 1986]. However, a discussion of the formal aspects of this relationship is beyond the scope of this paper.

**Definition 2.5.** The notion of the direct derivation is extended to the derivation of length  $n \geq 0$  in the usual recursive way:

- For any polyvector  $C$ ,  $C \Rightarrow^0 C$ ,
- If  $C_0 \Rightarrow^n C_n$  and  $C_n \Rightarrow C_{n+1}$  then  $C_0 \Rightarrow^{n+1} C_{n+1}$ .

**Definition 2.6.** A polyvector  $C_n$  is the Koch curve of order  $n$  generated by a Koch system  $K = \langle I, P \rangle$  if  $C_n$  is derived in  $K$  from the axiom  $I$  in a derivation of length  $n$ :  $I \Rightarrow^n C_n$ .

### 3. FINITE-ORDER KOCH CURVES.

This section presents a characterization of Koch curves in terms of algebra of relations. We show that any Koch system  $K$  corresponds to a geometric relation  $\Phi$  in such a way that the Koch curve of order  $n$  generated by  $K$  can be represented as  $I\Phi^n$ . The formal discussion is limited to the Koch systems in which the initiator  $I$  is a single vector. A method for removing this limitation is outlined in Section 8.

**Theorem 3.1.** Consider a Koch system  $K = \langle \vec{a}, \vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m \rangle$ . For any sequence of indices  $j_1, \dots, j_n : j_i \in \{1, \dots, m\}$  the following equality holds:

$$\vec{a}\xi_{j_1} \cdots \xi_{j_n} = \vec{a}\phi_{j_n} \cdots \phi_{j_1}$$

where mappings  $\xi_j$  and  $\phi_j$  are defined as in Corollary 2.1 and Remark 2.3. The operation  $\xi_j$  is assumed to be left-associative:  $\vec{a}\xi_{j_1} \cdots \xi_{j_n} = (\dots(\vec{a}\xi_{j_1}) \cdots \xi_{j_n})$ .

**Proof** – by induction on  $n$ .

- Assuming that the sequence of zero transformations is equal to the identity mapping, for  $n = 0$  the thesis is obvious.
- Assume the thesis true for an  $n \geq 1$  and consider a vector  $\vec{a}' = \vec{a}\xi_{j_1} \cdots \xi_{j_{n-1}}$ . According to the inductive assumption, the vector  $\vec{a}' = \vec{a}\xi_{j_1} \cdots \xi_{j_n}$  can be

expressed as  $\vec{a} = \vec{\sigma} \eta$ , where  $\eta = \phi_{j_n} \cdots \phi_{j_1}$ . Furthermore, from the Corollary 2.1 it follows that the vector  $\vec{b} = \vec{\sigma} \xi_{j_{m+1}}$  can be expressed as  $\vec{\sigma} T^{-1} \theta_{j_{m+1}} T$ , where  $T$  is the direct similarity which takes the production predecessor  $\vec{\sigma}$  to the vector  $\vec{a}$ . The transformation  $T$  is in turn equal to the composition of the direct similarity  $R$  which takes the predecessor  $\vec{\sigma}$  to the axiom  $\vec{\sigma}$ , and the transformation  $\eta$  which takes axiom  $\vec{\sigma}$  to the vector  $\vec{a}$ . Consequently, we obtain:

$$\begin{aligned} \vec{b} &= \vec{\sigma} \xi_{j_{m+1}} = \vec{\sigma} T^{-1} \theta_{j_{m+1}} T = \vec{\sigma} (\eta^{-1} R^{-1}) \theta_{j_{m+1}} (R \eta) = \\ &(\vec{\sigma} \eta^{-1}) (R^{-1} \theta_{j_{m+1}} R) \eta = \vec{\sigma} \phi_{j_{m+1}} \eta = \vec{\sigma} \phi_{j_{m+1}} \phi_{j_n} \cdots \phi_{j_1} \quad \square \end{aligned}$$

**Interpretation.** According to the above theorem, associated with a Koch system  $K$  is a set of direct similarities  $\phi_j$ . A vector  $\vec{b}$  can be derived from the axiom in a sequence of production applications if and only if it can be also obtained by transforming the axiom vector using a sequence of direct similarities  $\phi_j$ . The similarities  $\phi_j$  must be applied in the reversed order compared to the corresponding  $\xi_j$  mappings.

**Example 3.1.** In order to illustrate Theorem 3.1, let us introduce the following notation:

- $S(a)$  – scaling with respect to the origin of the coordinate system where parameter  $a > 0$  is the scaling ratio,
- $R(\alpha)$  – rotation by angle  $\alpha$  with respect to the origin of the coordinate system,
- $M(u, v)$  – translation by vector  $(u, v)$ . (Note that in this case  $u$  and  $v$  are coordinates of a free vector, not end-

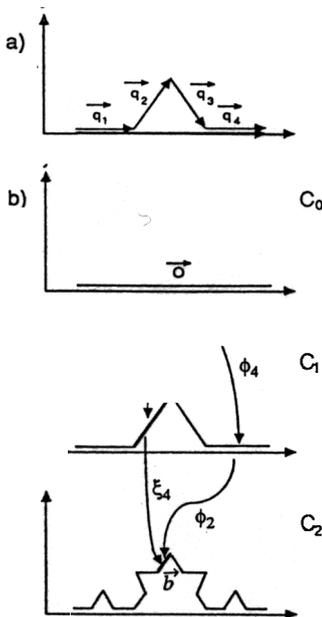


Fig. 3.1. a) The production of a Koch system. b) Two methods for obtaining a vector  $\vec{b} \in C_2$  a sequence of productions and a sequence of similarities.

points of a fixed vector.)

The similarities corresponding to the Koch system presented in Fig. 3.1a can be expressed as follows:

$$\begin{aligned} \phi_1 &= S\left(\frac{1}{3}\right) \\ \phi_2 &= S\left(\frac{1}{3}\right) R\left(\frac{\pi}{3}\right) M\left(\frac{1}{3}, 0\right) \\ \phi_3 &= S\left(\frac{1}{3}\right) R\left(-\frac{\pi}{3}\right) M\left(\frac{1}{2}, \frac{\sqrt{3}}{6}\right) \\ \phi_4 &= S\left(\frac{1}{3}\right) M\left(\frac{2}{3}, 0\right) . \end{aligned}$$

Figure 3.1b shows that a vector  $\vec{b} \in C_2$  can be derived from the axiom using mappings  $\xi_2 \xi_4$ , or obtained as the image of the axiom using similarities  $\phi_4 \phi_2$ . Operations  $\phi_j$  are applied in the reversed order compared to the corresponding operations  $\xi_j$ .

**Remark 3.1.** The specification of similarities  $\phi_j$  by a composition of more primitive operations has an intuitive geometric appeal – it is conceptually close to the specification of symmetries in terms of rotations, translations, reflections and glide reflections. This emphasizes the relationship between fractal and "classic" geometry: Koch curves can be perceived as symmetric patterns which admit similarities as symmetries. The concept of considering similarities as symmetries is certainly not new. The extensive study of "patterns and tilings" by Grünbaum and Shephard [1987] provides several examples of so-called "similarity patterns" obtained by overlaying smaller and smaller copies of a given motif. However, all these patterns use exactly one similarity. The possibility of generating an abundance of interesting patterns using two or more similarities went unnoticed there.

Since each sequence of  $n$  similarities  $\phi_j$  takes the axiom  $\vec{\sigma}$  to a vector  $\vec{b}$  which belongs to the Koch curve  $C_n$  and each vector of  $C_n$  corresponds to some sequence of such transformations, the following corollary holds.

**Corollary 3.1.** Consider a Koch system  $K = \langle \vec{\sigma}, \vec{\sigma} \rightarrow \vec{q}_1 \cdots \vec{q}_m \rangle$ , and let  $\Phi$  denote the union of the similarities  $\phi_j$  associated with  $K$ :

$$\Phi = \bigcup_{j=1}^m \phi_j .$$

For any  $n = 0, 1, 2, \dots$  the Koch curve of order  $n$  generated by  $K$  can be expressed as  $C_n = \vec{\sigma} \Phi^n$ .

**Interpretation.** According to the above Corollary, a Koch curve of order  $n$  can be obtained recursively, starting from  $C_0 = \vec{\sigma}$  and using the relation  $C_{i+1} = C_i \Phi$  to progress through the sequence of Koch curves of consecutive orders. Note that in general the relation  $\Phi$  is not monotonic, i.e.  $C_i \Phi$  is not a superset of  $C_i$ . Consequently, the curve  $C_{i+1}$  cannot be simply obtained by adding new vectors to  $C_i$ . Some, if not all, vectors of  $C_i$  must also be erased.

In the following sections we will introduce the notion of a limit Koch curve and we will show that, by operating on points instead of vectors, it is possible to generate the limit Koch curves in a monotonic process with no erasing.

#### 4. THE TOPOLOGICAL LIMIT OF A SEQUENCE OF SETS.

In this section we recall some basic topological notions according to Kuratowski [1972] and Hutchinson [1981].

Let us assume that all sets considered are closed sets on the plane P.

**Definition 4.1.** Let  $\rho(x,y)$  denote the Euclidean distance between points  $x,y$ . The distance between point  $x$  and set  $Y$  is defined as:

$$\rho(x,Y) = \inf_{y \in Y} \rho(x,y) .$$

The *half-distance* between set  $X$  and set  $Y$  is equal to:

$$\rho'(X,Y) = \sup_{x \in X} \rho(x,Y) .$$

Note that, in general,  $\rho'(X,Y) \neq \rho'(Y,X)$ . The *distance* between sets  $X$  and  $Y$  is the greater of the two half-distances:

$$\rho(X,Y) = \max \{ \rho'(X,Y), \rho'(Y,X) \} .$$

The function  $\rho(X,Y)$  satisfies the distance axioms in the space of all closed nonempty subsets of the plane P and is called the *Hausdorff metric* on this space. It is easy to notice that for any set families  $X_1, \dots, X_m$  and  $Y_1, \dots, Y_n$  the following inequality holds:

$$\rho \left( \bigcup_{i=1}^m X_i, \bigcup_{j=1}^n Y_j \right) \leq \max \{ \rho(X_i, Y_j) : 1 \leq i \leq m, 1 \leq j \leq n \} \quad (*)$$

**Definition 4.2.** A set  $A$  such that

$$\lim_{n \rightarrow \infty} \rho(A_n, A) = 0$$

is called the *topological limit* of the sequence of sets  $A_0, A_1, A_2, \dots$ . It is known that if a topological limit exists, it is unique. Consequently, we can use notation  $A = \text{Lt } A_n$ .

**Definition 4.3.** Consider a function  $f: P \rightarrow P$ . The *Lipschitz constant* of  $f$  is defined as

$$\text{Lip}(f) = \sup_{x \neq y} \frac{\rho(f(x), f(y))}{\rho(x,y)} .$$

We will use the following properties of  $\text{Lip}(f)$ :

- For any points  $x, y \in P$

$$\rho(f(x), f(y)) \leq \text{Lip}(f) \rho(x,y)$$

- If  $f: P \rightarrow P$  and  $g: P \rightarrow P$  then

$$\text{Lip}(fg) = \text{Lip}(f) \text{Lip}(g)$$

- If  $f$  is a similarity then

$$\text{Lip}(f^{-1}) = \frac{1}{\text{Lip}(f)} \quad (**)$$

A function  $f$  is called a *contraction* if  $\text{Lip}(f) < 1$ .

#### 5. THE LIMIT KOCH CURVES.

This section characterizes limit Koch curves as sets invariant with respect to unions of similarities. The material of this section is based on [Hutchinson 1981].

**Definition 5.1.** The *spread*  $\sigma$  of a Koch system  $K$  is the distance between the axiom  $\vec{\sigma}$  and its direct successor  $\vec{\sigma}_1 \cdots \vec{\sigma}_m$ :

$$\sigma = \rho(\vec{\sigma}, \vec{\sigma}_1 \cdots \vec{\sigma}_m) \quad \text{where} \quad \vec{\sigma} \Rightarrow \vec{\sigma}_1 \cdots \vec{\sigma}_m .$$

**Lemma 5.1.** The distance between two consecutive curves  $C_n$  and  $C_{n+1}$  generated by a Koch system  $K$  with production  $\vec{\sigma} \rightarrow \vec{\sigma}_1 \cdots \vec{\sigma}_m$  satisfies the the inequality:

$$d_n \leq \sigma \frac{\text{length}(\vec{\sigma}_{\max})}{\text{length}(\vec{\sigma})}$$

where  $\vec{\sigma}_{\max}$  is the longest vector in the polyvector  $C_n$ .

**Proof.** Consider derivation  $\vec{\sigma} \Rightarrow \vec{b}_1 \cdots \vec{b}_m$  which results from the application of production  $\vec{\sigma} \rightarrow \vec{\sigma}_1 \cdots \vec{\sigma}_m$  to a vector  $\vec{\sigma}$ . According to Fig. 2.2, the vectors  $\vec{\sigma}, \vec{b}_1, \dots, \vec{b}_m$  are related to the vectors  $\vec{\sigma}, \vec{\sigma}_1, \dots, \vec{\sigma}_m$  by a similarity  $R^{-1}T$ , hence:

$$\frac{\rho(\vec{\sigma}, \vec{b}_1 \cdots \vec{b}_m)}{\rho(\vec{\sigma}, \vec{\sigma}_1 \cdots \vec{\sigma}_m)} = \frac{\text{length}(\vec{\sigma})}{\text{length}(\vec{\sigma})} .$$

The longer vector  $\vec{\sigma}$ , the bigger is the value of both ratios. Taking into account the inequality (\*) from Section 4, we obtain:

$$\begin{aligned} \rho(C_n, C_{n+1}) &\leq \\ \max \{ \rho(\vec{\sigma}_j, \vec{b}_{j_n}) : \vec{\sigma}_j \in C_n \ \&\ \vec{\sigma}_j \Rightarrow \vec{b}_{j_n} \} \\ &= \frac{\text{length}(\vec{\sigma}_{\max})}{\text{length}(\vec{\sigma})} . \quad \square \end{aligned}$$

**Definition 5.2.** The *contraction ratio* of a production  $\vec{\sigma} \rightarrow \vec{\sigma}_1 \cdots \vec{\sigma}_m$  is defined as:

$$\gamma = \frac{\text{length}(\vec{\sigma}_{\max})}{\text{length}(\vec{\sigma})}$$

where  $\vec{\sigma}_{\max}$  is the longest vector of the generator  $\vec{\sigma}_1 \cdots \vec{\sigma}_m$ .

**Lemma 5.2.** Assuming the notation of Corollary 2.1 and Remark 2.3, the following equality holds:

$$\begin{aligned} \gamma &= \max \{ \text{Lip}(\theta_j) : 1 \leq j \leq m \} = \max \{ \text{Lip}(\phi_j) : 1 \leq j \leq m \} \\ &= \max \{ \text{Lip}(\xi_j) : 1 \leq j \leq m \} . \end{aligned}$$

**Proof.** The equality  $\gamma = \max \{ \text{Lip}(\theta_j) : 1 \leq j \leq m \}$  results directly from the Definition 5.2. Furthermore, taking into account the property (\*\*\*) in Section 4, we obtain:

$$\text{Lip}(\phi_j) = \text{Lip}(R^{-1}\theta_j R) = \text{Lip}(\theta_j) .$$

Using the same argument for  $\xi_j$ , we conclude that:

$$\text{Lip}(\theta_j) = \text{Lip}(\phi_j) = \text{Lip}(\xi_j)$$

for any  $j \in \{1, \dots, m\}$ , so the thesis holds.  $\square$

**Lemma 5.3.** The length of any vector  $\vec{b}$  in the polyvector  $C_n$  satisfies the inequality:

$$\text{length}(\vec{b}) \leq \text{length}(\vec{\sigma}) \gamma^n .$$

**Proof.** According to Theorem 3.1, if  $\vec{b} \in C_n$  then there exists a sequence of  $n$  transformations  $\phi_n \cdots \phi_1$  such that  $\vec{b} = \vec{\sigma} \phi_n \cdots \phi_1$ . From Lemma 5.2 it follows that  $\text{Lip}(\phi_j) \leq \gamma$  for all functions  $\phi_j$  under consideration. Consequently,  $\text{length}(\vec{b}) \leq \text{length}(\vec{\sigma}) \gamma^n$ .  $\square$

**Definition 5.3.** Consider a sequence of polyvectors  $C_n$  generated by a Koch system  $K$  using derivations of length  $0, 1, 2, \dots$ . A set  $C_\infty = \text{Lt } C_n$  is called the *limit curve* generated by the system  $K$ .

**Theorem 5.1.** Consider a Koch system  $K = \langle \vec{a}, \vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m \rangle$ . If the contraction ratio  $\gamma$  of the production  $\vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m$  is less than 1, then the limit curve  $C_\infty$  exists and is bounded.

**Proof.** Consider a sequence  $C_n, C_{n+1}, \dots, C_p$  of polyvectors generated by the Koch system  $K$ . According to the Lemmas 5.1 and 5.3, the distance  $d_i$  between consecutive polyvectors  $C_i$  and  $C_{i+1}$  satisfies the inequality  $d_i \leq \sigma \gamma^i$ . The distance between polyvectors  $C_n$  and  $C_p$  does not exceed the sum of distances  $d_n + d_{n+1} + \dots + d_{p-1}$ :

$$\rho(C_n, C_p) \leq \sum_{i=n}^{p-1} \sigma \gamma^i = \sigma \gamma^n \frac{1 - \gamma^{p-n}}{1 - \gamma}.$$

Since  $\gamma < 1$  and  $p > n$ , we obtain:

$$\rho(C_n, C_p) \leq \sigma \gamma^n \frac{1}{1 - \gamma}.$$

The above formula shows that the distance  $\rho(C_n, C_p)$  tends to zero with  $n \rightarrow \infty$ , hence according to the Cauchy criterion there exists the limit set  $C_\infty$  such that

$$\lim_{n \rightarrow \infty} \rho(C_n, C_\infty) = 0.$$

Or,  $C_\infty = \text{Lt } C_n$ . Furthermore,

$$\rho(C_0, C_\infty) \leq \sigma \frac{1}{1 - \gamma},$$

so  $C_\infty$  is bounded.  $\square$

**Theorem 5.2.** Consider a Koch system  $K = \langle \vec{a}, \vec{p} \rightarrow \vec{q}_1 \cdots \vec{q}_m \rangle$ . The contraction ratio  $\gamma$  is assumed to be less than 1. Let  $\Phi$  denote the union of the similarities  $\phi_j$  associated with  $K$ :

$$\Phi = \bigcup_{j=1}^m \phi_j.$$

The limit curve  $C_\infty$  generated by  $K$  has the following properties:

- a)  $C_\infty \Phi = C_\infty$ ,
- b) For any nonempty set  $X$  on the plane, if  $X\Phi \subset X$  then  $C_\infty \subset X$ ,
- c) For any point  $x$  in the plane  $\lim_{n \rightarrow \infty} \rho'(x\Phi^n, C_\infty) = 0$ .

**Proof.**

- a)  $C_\infty = \text{Lt}_{n \rightarrow \infty} \vec{a}\Phi^n = \text{Lt}_{n \rightarrow \infty} \vec{a}\Phi^{n+1} = (\text{Lt}_{n \rightarrow \infty} \vec{a}\Phi^n)\Phi = C_\infty\Phi$ .
- b) Let  $X$  be an arbitrary nonempty set closed with respect to  $\Phi$ . In order to show that  $C_\infty \subset X$  we will consider a point  $x \in X$  and a vector  $\vec{b} \in C_n$  ( $n \geq 0$ ). According to the Theorem 3.1,  $\vec{b}$  is the image of the axiom  $\vec{a}$  with respect to some sequence of transformations included in  $\Phi^n$ :  $\vec{b} = \vec{a}\phi_{j_n}\phi_{j_{n-1}} \cdots \phi_{j_1}$ . Let  $y$  denote the image of  $x$  with respect to the same sequence of transformations,  $y = x\phi_{j_n}\phi_{j_{n-1}} \cdots \phi_{j_1}$ , and assume that the distance between the axiom  $\vec{a}$  and the set  $\{x\}$  is equal to  $d_0$ . According to Lemma 5.2, the distance between the vector  $\vec{b}$  and the set  $\{y\}$  satisfies the inequality

$\rho(\vec{b}, \{y\}) \leq d_0 \gamma^n$ . Since the set  $X$  is assumed to be closed with respect to all transformations included in  $\Phi$ ,  $y$  belongs to  $X$ . Thus, the half-distance  $d_n = \rho'(\vec{b}, X)$  is less than or equal to  $d_0 \gamma^n$ . Considering that the contraction ratio  $\gamma$  is less than one, the half-distance  $d_n$  between an arbitrary vector  $\vec{b} \in C_n$  and the set  $X$  tends to zero when  $n$  tends to infinity. Thus, the limit set  $C_\infty$  is a subset of  $X$ .

- c) Consider an arbitrary point  $x$  in the plane, and a point  $z$  which belongs to  $C_\infty$ . Denote by  $d_0$  the distance  $\rho(x, z)$ . Following the same arguments as in a previous part of this proof, we obtain:

$$\rho(x\phi_{j_n} \cdots \phi_{j_1}, z\phi_{j_n} \cdots \phi_{j_1}) \leq d_0 \gamma^n,$$

where  $\phi_{j_n} \cdots \phi_{j_1}$  is an arbitrary sequence of transformations included in  $\Phi^n$ . According to part (a),  $z\phi_{j_n} \cdots \phi_{j_1} \in C_\infty$ , thus  $\rho(x\phi_{j_n} \cdots \phi_{j_1}, C_\infty) \leq d_0 \gamma^n$ , or  $\rho'(x\Phi^n, C_\infty) \leq d_0 \gamma^n$ . Considering that the contraction ratio  $\gamma$  is less than one, the thesis is obtained.  $\square$

**Corollary 5.1.** For any Koch system  $K$  with a contraction ratio  $\gamma < 1$  and any point  $x$  in the plane,

$$C_\infty = \text{Lt } x\Phi^n.$$

**Proof.** Following the same argument as in the proof of Theorem 5.2a, we find that the set  $X = \text{Lt } x\Phi^n$  has the property  $X\Phi = X$ . Thus, according to part (b),  $C_\infty \subset X$ . On the other hand, from part (c) it follows that  $X \subset C_\infty$ . Thus,  $X = C_\infty$ .  $\square$

**Interpretation.** Parts (a) and (b) of the Theorem 5.2 characterize the limit Koch curve  $C_\infty$  as the smallest nonempty set invariant with respect to a union of similarities. Part (c) characterizes a set  $C_\infty$  as an *attractor*. The iterative application of the transformations included in  $\Phi$  can be considered as a *dynamic process* [Mandelbrot 1982, Peitgen and Richter 1986] which describes evolution of the set of points  $S_n$  in time. The process starts with a one-element set  $S_0 = \{x\}$ . The subsequent sets  $S_n$  get closer and closer to the limit set  $C_\infty$  regardless of the selection of the initial point  $x$ . Thus,  $C_\infty$  attracts points from the entire plane. Corollary 5.1 further specifies that all points of  $C_\infty$  will be reached by applying some (possibly infinite) sequences of transformations from  $\Phi$  to an arbitrary starting point  $x$ .

## 6. THE ATTRACTIVE METHOD FOR KOCH CURVE GENERATION.

Theorem 5.2 and Corollary 5.1 suggest a simple method for generating finite approximations of the limit Koch curves.

- Start from a set  $S_0 = \{z\}$  where point  $z$  is known to belong to  $C_\infty$ ,
- Given set  $S_n$ , construct set  $S_{n+1}$  by applying all transformations  $\phi_j \in \Phi$  to all points  $z_k \in S_n$ . Repeat this step for consecutive values of  $n$  until the desired number of points approximating  $C_\infty$  is reached.

Note that all generated points  $z_k$  belong to the limit curve  $C_\infty$ , so all calculated points contribute to the approximation of  $C_\infty$  and no erasing occurs.

The above method assumes that an initial point  $z \in C_\infty$  is known. Two approaches can be used to find such a point.

- Solve for  $z$  any of the equations  $z\phi_j = z$ , where  $\phi_j \in \Phi$ . Since each mapping  $\phi_j$  is a contraction, it has a unique fixed point  $z$ . Furthermore,  $z = z\phi_j^n$  for any  $n \geq 0$ , thus according to the Corollary 5.1,  $z \in C_\infty$ .
- Choose an arbitrary point  $x$  in the plane, and apply to it a sequence of transformations  $\phi_{j_1} \cdots \phi_{j_n} \in \Phi^n$ . According to the Theorem 5.2(c), if  $n$  is sufficiently large, the resulting point  $z$  will be arbitrarily close to the curve  $C_\infty$ . Consequently,  $z$  can be used as the starting point for curve generation. Because of the attractive nature of the process  $\Phi$ , the impact of the error in choosing the initial point will further decrease as the iteration continues.

**Example 6.1.** Figure 6.1 shows two approximations of one branch of the snowflake curve. The relation  $\Phi$  used for iteration is the union of similarities  $\phi_1 - \phi_4$  from Example 3.1.

**Example 6.2.** Plate 6.2 shows four curves generated by a pair of mappings:

$$\phi_1(z) = z\gamma e^{i\frac{\pi}{4}} \quad \phi_2(z) = z\gamma e^{i\frac{3\pi}{4}} + i$$

for different values of parameter  $\gamma$ . A point is colored red if  $\phi_1$  is the last transformation used, otherwise it is colored green. The area in which green and red points are adjacent to each other appears as yellow. Modification of the numerical parameters reveals interesting variations of the basic dragon curve shape.

**Remark 6.1.** The use of complex variables emphasizes an analogy between the Koch curves and the Julia sets. For example, a Julia set can be generated using the inverse iteration method, by iterating two mappings:

$$f_1(z) = +\sqrt{z+1} \quad f_2(z) = -\sqrt{z+1}$$

The particular mappings generating a Koch curve and a Julia set are different, but the underlying iterative algorithm is the same.

**Example 6.3** (Based on [Demko *et al.* 1985]). Plate 6.3 shows the curve generated by the union of three transformations:

$$\begin{aligned} & \frac{1}{2}z + \frac{1}{2}i \\ & \frac{1}{2}z + \frac{1}{2}e^{-i\frac{5\pi}{6}} \\ \phi_3(z) &= \frac{1}{2}z + \frac{1}{2}e^{-i\frac{1\pi}{6}} \end{aligned}$$

As previously, the point colors indicate the last transformation used. Note that the figure obtained is the Sierpiński gasket [Mandelbrot 1982]. Transformations  $\phi_1 - \phi_3$  provide an interesting characterization of this well-known curve: the Sierpiński gasket is the smallest nonempty set closed with respect to three scaling transformations. Their centers (fixed points) lie at the vertices of an equilateral triangle and the scaling ratios are equal to  $\frac{1}{2}$ .

a)

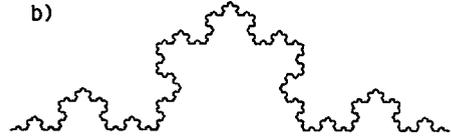


Fig. 6.1. Two approximations of the limit snowflake curve obtained by the attractive method. Approximation (a) consists of 100 points. Approximation (b) has 10,000 points.

**Example 6.4.** Figure 6.4 shows the production of a Koch system generating twig-like shapes [Prusinkiewicz 1986]. The corresponding similarities are given below:

$$\begin{aligned} \phi_1(z) &= \gamma_1 z \\ \phi_2(z) &= \gamma_2 z + \gamma_1 i \\ \phi_3(z) &= (1 - \gamma_1 - \gamma_2)z + (\gamma_1 + \gamma_2)i \\ \phi_4(z) &= \gamma_2 z e^{i\alpha} + \gamma_1 i \\ \phi_5(z) &= (1 - \gamma_1 - \gamma_2)z e^{-i\alpha} + (\gamma_1 + \gamma_2)i \end{aligned}$$

Figure 6.5 presents the images resulting from iterating the similarities  $\phi_1 - \phi_5$  for three different values of parameters  $\gamma_1$  and  $\gamma_2$ . In all cases,  $\alpha = \frac{\pi}{6}$ . As in Example 6.2, modification of the numerical parameters reveals interesting shape variations.

## 7. THE REPELLING METHOD FOR KOCH CURVE GENERATION.

The sets of equations considered in the previous section defined Koch curves as attractors of dynamic processes. In this section we address the problem of describing Koch curves as repellers. The basic concept is to use reciprocal mappings  $\phi_j^{-1}$  instead of the functions  $\phi_j$  [c.f. Mandelbrot 1982]. However, the repelling algorithm for Koch curve

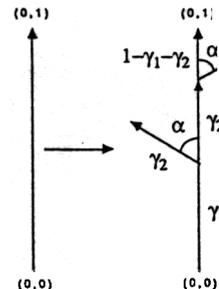


Fig. 6.4. Production of the Koch system generating twig-like shapes.

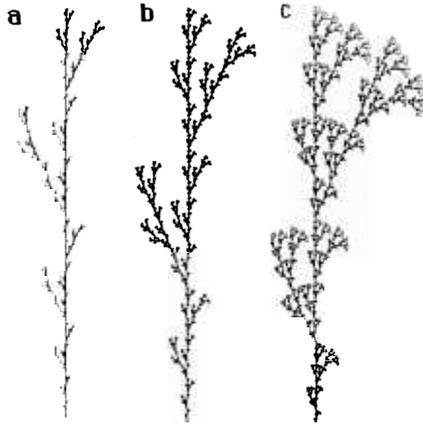


Fig 6.5. "Twigs" generated using the attractive method.

- a)  $\gamma_1 = 0.5, \gamma_2 = 0.3$ . b)  $\gamma_1 = \gamma_2 = 0.5$ .  
 c)  $\gamma_1 = 0.2, \gamma_2 = 0.3$ .

In all three cases,  $\alpha = \frac{\pi}{6}$ .

generation is more complicated than its attractive counterpart: for all points and at all iteration steps it requires a careful selection of the applicable mapping.

**Theorem 7.1.** Consider a Koch system  $K$  with the contraction ratio  $\gamma < 1$  and let  $\Phi$  denote, as previously, the union of similarities  $\phi_1, \dots, \phi_m$  associated with  $K$ . A point  $x$  belongs to the limit curve  $C_\infty$  if and only if there exists a function  $\phi_j \in \Phi$  such that  $x\phi_j^{-1} \in C_\infty$ .

**Proof.** According to the Theorem 5.2a,  $C_\infty = C_\infty\Phi$ . Thus, for any point  $x \in C_\infty$  there exists a point  $y \in C_\infty$  and a transformation  $\phi_j \in \Phi$  such that  $y\phi_j = x$ , or  $x\phi_j^{-1} = y \in C_\infty$ . On the other hand, if  $x\phi_j^{-1} \in C_\infty$  then  $x\phi_j^{-1}\phi_j = x \in C_\infty$ .  $\square$

**Theorem 7.2.** Consider a Koch system  $K$  with the contraction ratio  $\gamma < 1$  and let  $\Phi$  denote the union of similarities  $\phi_1, \dots, \phi_m$  associated with  $K$ . If a point  $x$  does not belong to the limit curve  $C_\infty$  then for any infinite sequence of transformations  $\phi_{j_1}^{-1}\phi_{j_2}^{-1}\dots$

$$\lim_{n \rightarrow \infty} \rho(x\phi_{j_1}^{-1} \dots \phi_{j_n}^{-1}, C_\infty) = \infty.$$

**Proof.** Consider a sequence of similarities  $\phi_{j_n} \dots \phi_{j_1}$  and a point  $v \in C_\infty$ . Since the set  $C_\infty$  is closed with respect to all similarities  $\phi_j \in \Phi$  (Theorem 5.2a), the point  $u = v\phi_{j_n} \dots \phi_{j_1}$  also belongs to  $C_\infty$ . Now, let us consider point  $y = x\phi_{j_1}^{-1} \dots \phi_{j_n}^{-1}$ . According to the Definition 4.3 and Lemma 5.2,  $\rho(x, u) \leq \gamma^n \rho(y, v)$ , or:

$$\rho(y, v) \geq \gamma^{-n} \rho(x, u).$$

The distance  $\rho(x, u)$  is greater than zero, because  $x \in C_\infty$  and the set  $C_\infty$  is closed. Thus,  $\gamma^{-n} \rho(x, u) \rightarrow \infty$  with  $n \rightarrow \infty$ , and consequently  $\rho(y, v) \rightarrow \infty$ . Since  $v \in C_\infty$  and  $C_\infty$  is bounded (Theorem 5.1), the distance between  $y = x\phi_{j_1}^{-1} \dots \phi_{j_n}^{-1}$  and  $C_\infty$  tends to infinity with  $n \rightarrow \infty$ .  $\square$

From Theorems 7.1 and 7.2 it follows that a point  $x$  belongs to the curve  $C_\infty$  if and only if there exists an infinite

sequence of transformations  $\phi_{j_1}^{-1}\phi_{j_2}^{-1}\dots$  which does not take  $x$  to infinity. This observation can be used as a basis for generating images of Koch curves, although only finite transformation sequences and finite distances on the plane can be considered in practice. The algorithm proceeds as follows.

- Define a window on the image plane to establish the area of interest within which the curve will be traced. Subdivide this window into an array of sample points which will correspond to the pixels on the screen (for example, each sample will represent one pixel if no oversampling is used.) Assume the maximum length  $N$  of the transformation sequence considered. Define a "large" circle  $\Omega$  (including the curve  $C_\infty$ ) which will be used to test whether points tend to infinity.
- Partition the plane into regions  $D_j$  such that for any  $x \in C_\infty \cap D_j$  the function  $\phi_j^{-1} \in \Phi^{-1}$  takes point  $x$  to some point of  $C_\infty$ :  $x\phi_j^{-1} \in C_\infty$ . According to the Theorem 7.1, at least one such function  $\phi_j^{-1}$  exists, hence this partition is feasible.
- For each sampling point  $x_0$  calculate a sequence of points  $x_0, x_1, x_2, \dots$  according to the rule:

$$\text{if } x_n \in D_j \text{ then } x_{n+1} = x_n \phi_j^{-1}.$$

Stop this iteration if the index  $n$  reaches limit  $N$  or  $x_n$  falls outside of the circle  $\Omega$ . Assign a color to the point  $x_0$  according to the final value of  $n$ .

The justification of the above method is straightforward. If, after  $N$  iterations, a point  $x$  is taken out of the circle  $\Omega$  which contains the curve  $C_\infty$ ,  $x$  does not belong to  $C_\infty$ . On the other hand, if after  $N$  iterations  $x$  stays within  $\Omega$ , it is assumed that  $x \in C_\infty$ . In fact, in this latter case  $x$  can be at some small distance from  $C_\infty$ , but if the parameters are properly chosen, the error will be negligible compared to the screen resolution.

**Example 7.1.** Let us apply the repelling method to generate an image of the Sierpiński gasket. According to Example 6.3, the gasket is invariant with respect to three scalings  $\phi_1 - \phi_3$ , with centers at the vertices of an equilateral triangle and the scaling ratios equal to  $\frac{1}{2}$ . Obviously, the reciprocal

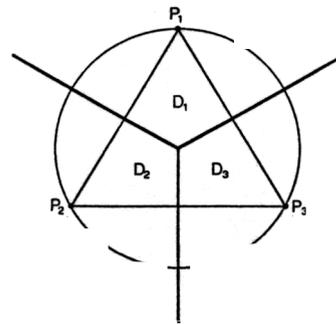


Fig. 7.1. Relative position of the scaling centers  $P_1 - P_3$  and domains  $D_1 - D_3$  for generating the Sierpiński gasket using the repelling method. All scaling ratios are equal to 2.

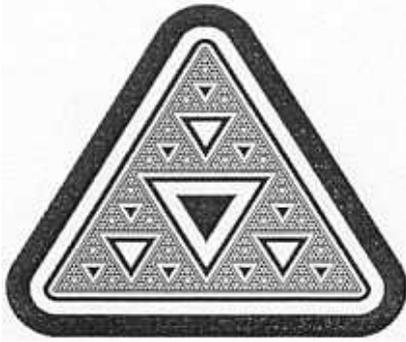


Fig. 7.2. The Sierpiński gasket generated using the repelling method.

transformations  $\phi_1^{-1} - \phi_7^{-3}$  are also scalings, with the same centers and the scaling ratio equal to 2. In order to determine their domains  $D_j$ , let us refer to Plate 6.3. It shows that the gasket can be divided into three subgaskets (the red one, green and yellow) which belong to different domains. Thus, the domain boundaries can be defined as the bisectors of the line segments connecting pairs of the scaling centers (Fig. 7.1). The resulting image of the Sierpiński gasket obtained using the repelling method is shown in Fig. 7.2.

**Example 7.2.** The concept of using sets of scalings can also be used to generate other fractals. Figures 7.3 and 7.5 define the scalings and their respective domains which were used to generate images shown in Plates 7.4 and 7.6. The fractal in Plate 7.4 consists of an infinite number of snowflake curves. The fractal in Plate 7.6 is the Sierpiński carpet. Note that in this latter case two different scaling ratios were used.

**Example 7.3.** Plate 7.8 shows the repelling version of a "twig" from Example 6.4, with parameters  $\gamma_1 = \gamma_2 = \frac{1}{3}$  and  $\alpha = \frac{\pi}{3}$ . Partition of the plane into domains  $D_1 - D_5$  is given in Fig. 7.7. The exact positions of domain boundaries were arbitrarily chosen from the range of possibilities which

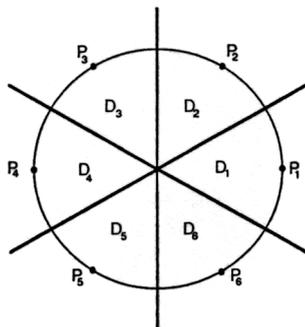


Fig. 7.3. Relative position of the scaling centers  $P_1 - P_6$  and domains  $D_1 - D_6$  for generating the "multi-snowflake" of Plate 7.4. All scaling ratios are equal to 3.

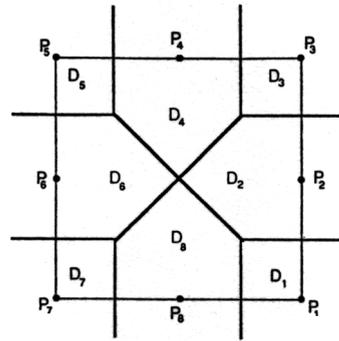


Fig. 7.5. Relative position of the scaling centers  $P_1 - P_8$  and domains  $D_1 - D_8$  for generating the Sierpiński carpet. The scaling ratios for  $D_1, D_3, D_5$  and  $D_7$  are equal to  $2\sqrt{2}$ . The scaling ratios for  $D_2, D_4, D_6$  and  $D_8$  are equal to 2.

satisfy the condition:

$$\text{if } z \in D_j \cap C_\infty \text{ then } z \phi_j^{-1} \in C_\infty .$$

In principle, the repelling method for generating Koch curves is analogous to the widely used method for generating colorful images of Julia sets. However, the necessity of partitioning the plane into domains  $D_j$  can make it difficult to apply to some Koch curves. For example, refer to the Example 6.2 and Plate 6.2. According to the coloring rules assumed, red points belong to the domain  $D_1$  and green points belong to the domain  $D_2$ . Plate 6.2b indicates that the boundary between these two domains can itself be a fractal line. Domain definition in the cases (c) and (d) appears to be even more enigmatic.

If the plane cannot be easily subdivided into domains, the fractal can be still generated using a "brute force" variant of the repelling method. The idea is to keep track of all points resulting from the repetitive application of transformations  $\phi_j^{-1} \in \Phi^{-1}$  to the sampling point  $x_0$ , as long as they stay within the circle  $\Omega$ . Formally, if  $x_0$  is the initial sampling

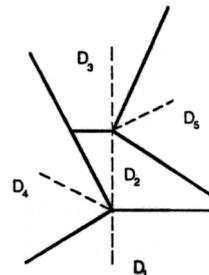


Fig. 7.7. Partition of the plane into domains  $D_1 - D_5$  for generating a twig using the repelling method. Transformations  $\phi_1^{-1} - \phi_5^{-1}$  are the reciprocals of the transformations from Example 6.4. For orientation, the dashed lines show the corresponding first-order curve  $C_1$ .

point, the set  $X_n$  of points considered after the  $n^{\text{th}}$  iteration step is given by the recursive formula:

$$X_0 = \{x_0\}$$

$$X_{n+1} = X_i \Phi^{-1} \cap \Omega$$

The iteration stops if the set  $X_n$  becomes empty or the index  $n$  reaches a limit  $N$ . As previously, the final value of  $n$  determines the color of the point  $x_0$ .

**Example 7.4.** Plate 7.9 shows the repelling version of the dragon curve from Plate 6.2b.

## 8. CONCLUSIONS.

This paper presents two methods for generating Koch curves. They are analogous to the commonly used iterative methods for producing images of Julia sets. The attractive method is based on a characterization of Koch curves as the smallest nonempty sets closed with respect to a union of similarities on the plane. This characterization was first studied by Hutchinson. The repelling method is in principle dual to the attractive one, but involves a nontrivial problem of selecting the appropriate transformation to be applied at each step. Both methods are illustrated with a number of computer-generated images.

The Koch systems discussed in this paper have the axiom limited to a single vector and use only one production. These restrictions can be removed by grouping all vectors into classes. The applicable production is then determined by the class a given vector belongs to. Each production also specifies the target classes for all resulting vectors. A corresponding approach can be applied to generate Koch curves by function iteration. In this case, a point in the plane is characterized by its position and an attribute or state. A typical transformation  $\phi_j$  has a form "if point  $x$  is in state  $s_p$  then take it to point  $y$  and make the state of the result equal to  $s_q$ ." For an example of an image generated using this technique, see Fig. 8.1. This branching shape belongs to a class termed "nonuniform fractals" by Mandelbrot [1982] and cannot be generated by a Koch system with a single production. A formal characterization of Koch systems with multiple productions is left for further research.

There are also many other problems open for further research. Some of them are listed below.

- The repelling method for generating Koch curves presented in Section 8 relies on a partition of the plane into domains  $D_j$ . However, domains  $D_j$  are defined only for the points which belong to the curve  $C_\infty$ , and an arbitrary partition can be assumed outside of it. Are some of these partitions more "natural" than others? What is the impact of the partitions used on the images generated by the repelling method?
- The correspondence between Koch curves and Julia sets would be even more convincing if it could be illustrated by a *continuous* transformation of a Koch curve (such as the dragon curve) into a Julia set (such as the self-squared dragon).
- This paper focused on the correspondence between the generative and the algebraic characterization of Koch curves. Consequently, the class of transformations con-

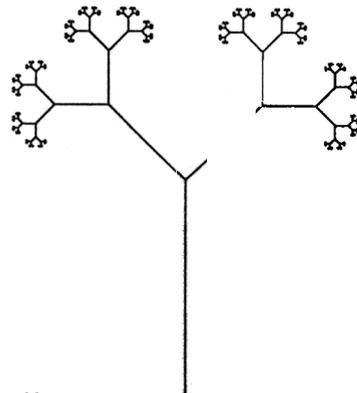


Fig. 8.1. An example nonuniform fractal generated using the attractive method.

sidered was limited to the direct similarities. It would be interesting to remove this limitation and investigate the class of curves generated by arbitrary linear transformations on the plane. While the straightforward correspondence with the Koch curves will probably be lost, new interesting fractal images may be produced.

- This paper shows that the usual description of the Koch curves in terms of an iterative geometric construction can be replaced by an algebraic characterization. A "dual" question applies to the Julia sets. Their known descriptions refer to the function iteration. Is it possible to define Julia sets by geometric constructions?
- Our results appear to be related to the theory of iterated function systems originated by Barnsely and Demko [1985] (for further results, see [Demko, Hodges and Naylor 1985, Levy-Vehel and Galagowicz 1987]). However, these systems operate in a probabilistic manner, while our approach is purely deterministic. It would be therefore interesting to investigate the role of probability in iterative function systems, and consequently establish their relation to the "attractors and repellers of Koch curves" presented in this paper.

Finally, we would like to convey our impression on the general character of the reported research. We find it remarkable that it combines notions from areas of mathematics and computer science which traditionally have been perceived as quite unrelated. To name a few, we draw on results of the theory of formal languages, geometry, topology and complex analysis, and we illustrate them using computer-generated images of fractals. Extrapolating this experience, we believe that fractals may have great yet largely unexploited educational potential as a visually appealing method for illustrating various concepts of mathematics and computer science. Interestingly, the educational applications were also presented as the original motivation of Koch's work.

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